JC02 Rec'd PCT/PT0 17 JUN 2005

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/US)

. 🖫 :

ATTORNEY'S DOCKET NUMBER DE9-2001-0078US1 (556)

	CERNING A SUBMISSIC	U.S. AFPOLICATION NO OF MOVE 49 37 CFR 1.5)							
	FIONAL APPLICATION NO. 003/012168	PRIORITY DATE CLAIMED 20 December 2002							
TITLE OF INVENTION Sensor Based Speech Recognizer Selection, Adaptation and Combination									
APPLICANT(S) FOR DO/EO/US									
FISCHER, et al.  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.  This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
	•								
	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. 🔲 1	The US has been elected (Article 31).								
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b. v has been communicated by the International Bureau.								
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. 🔽	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. have been communicated	by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. Aave not been made and	will not be made.	·						
8.	An English language translation of the	ne amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).						
9. 🗹	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT						
Items 11 to 20 below concern document(s) or information included:									
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12.	An assignment document for recording	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.						
13. 🗹	A preliminary amendment.								
14.	An Application Data Sheet under 37	CFR 1.76.							
15. 🗹	A substitute specification.								
16. 🔲	A power of attorney and/or change of	f address letter.							
17. 🗌	A computer-readable form of the seq	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)	(4).						
19. 🗆	A second copy of the English langua	ge translation of the international application	under 35 U.S.C. 154(d)(4).						
20 🗸	Other items or information: Return	n Postcard: and Communic	ation.						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

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LMA TON NOTIFEROM. See 37 OFR 1.5) INTERNATIONAL APPLICATIONAL

U.S. APPLICAT	U.S. APPLIA TON 105 1175 91. 4657 47 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/012168		ATTORNEY'S DOCKET NUMBER						
The fell	lauria a fa sa la arra la	DE9-2001-0078US1 (556) CALCULATIONS PTO USE ONLY							
The following fees have been submitted  21.   Basic national fee						PTO USE ONLY			
	·	\$ 300.00							
If International p PCT Article 3	nination fee oreliminary examin 3(1)-(4) ons	\$ 200.00							
Search fee (37 C International Sea	irch fee CFR 1.445(a)(2)) h onal Searching Au arch Report prepai	\$ 400.00							
	TOTAL OF 21, 2	\$ 900							
sequence li	ee for specification sting or computer 250 for each addi								
Total Sheets Extra Sheets Number		Number of eac	each additional 50 or fraction RATE und up to a whole number)						
23 - 100 =	0 /50 =	0	,	x \$250	\$				
	30.00 for furnishing date (37 CFR 1.49	\$ .							
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	·•			
Total claims	12	- 20 =	0	x \$ 50	\$				
Independent clai	ms 4	- 3 =	1	x \$200	\$ 200.00				
MULTIPLE DEPI	ENDENT CLAIM(S	S) (if applicable)		+ \$360	\$				
			TOTAL OF ABOV	/E CALCULATIONS =	\$ 1,100.00				
Applicant cla	aims small entity s	tatus. See 37 CF	R 1.27. Fees above are red	uced by 1/2.					
				SUBTOTAL =	\$ 1,100.00				
	of <b>\$130.00</b> for furni date (37 CFR 1.49	\$							
		\$ 1,100.00							
	the enclosed ass e cover sheet (37	\$							
			TOTAL	FEES ENCLOSED =	\$ 1,100.00				
		Amount to be refunded:	\$						
				<u>.</u>	Amount to be charged:	\$			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. 50-0951 in the amount of \$ 1,100.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0951 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:									
SIGNATURE									
	Sarah E. Smithh								
				NAME 50,488					
		ON NUMBER							
l	REGISTRATION NUMBER								

10/539454

## JC20 Rec'd PCT/PTO 17 JUN 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of FISCHER, et al.

Application No.

Filed Herewith

Examiner:

Unassigned

Date Filed:

Filed Herewith

Group:

Unassigned

For:

SENSOR BASED SPEECH RECOGNIZER SELECTION,

ADAPTATION AND COMBINATION

## COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith a Substitute Specification in the above-identified application, together with a clean copy of the Claims and Abstract as amended and evidenced in the co-filed Preliminary Amendment.

No fee is believed due; however, should there be any fees relating to this Substitute Specification, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0951.

Respectfully submitted,

Sarah E. Smith, Registration No. 50,488

Brian K. Buchheit, Registration No. 52,667

**AKERMAN SENTERFITT** 

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Docket No. DE9-2001-0078US1 (556)